

TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/720,372
	Filing Date	November 24, 2003
	First Named Inventor	Ghiron
	Art Unit	-
	Examiner Name	-
Total Number of Pages in This Submission	Attorney Docket Number	SIO-0107

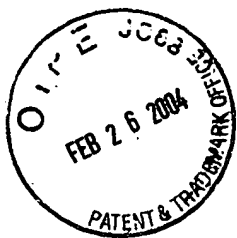
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wendy W. Koba
Signature	<i>Wendy W. Koba</i>
Date	2/24/04

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor Margaret Ghiron

Case: SIO-0107

Serial No. 10/720,372

Group Art Unit -

Filing Date November 24, 2003

Title: *Beam Shaping and Practical Methods of Reducing Loss Associated With Mating External Sources and Optics to Thin Silicon Waveguides*

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INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

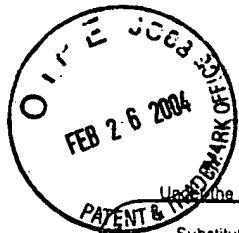
NO FEE IS REQUIRED.

Respectfully,

By: Wendy W. Koba
Wendy W. Koba, Esq.
Reg. No. 30509
Attorney for Applicant

Date: 2/24/04

Att: - Information Disclosure Statement



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

Complete if Known

Application Number

10/720,372

Filing Date

November 24, 2003

First Named Inventor

Ghiron

Art Unit

-

Examiner Name

-

Attorney Docket Number

SIO-0107

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6654511	11-25-2003	Deliwala	
		US-			
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FOREIGN PATENT DOCUMENTS

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				Application Number	10/720,372
				Filing Date	November 24, 2003
				First Named Inventor	Ghiron
				Art Unit	-
				Examiner Name	-
Sheet		of		Attorney Docket Number	SIO-0107

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		L.A. COLDREN (PI), Y.A. AKULOVA, E.M. STRZELECKA, B.J. THIBEAULT, J.C. KO and D.A. LOUDERBACK, "VCSEL ARRAY PACKAGING FOR FREE SPACE INTERCONNECTS", University of CA, Santa Barbara, CA; Report 1996-97 for MICRO Project 96-050	
		P.K. TIEN, R. ULRICH; "Theory of Prism-Film Coupler and Thin-Film Light Guides", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
		R. ULRICH; "Theory of the Prism-Film Coupler by Plane-Wave Analysis", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
		HIROSHI NISHIHARA; MASA MITSU HARUNA, TOSHIKI SUHARA, "Optical Integrated Circuits", 1985; Ohmsha, Ltd.	
		R. ULRICH, "Optimum Excitation of Optical Surface Waves", Journal of the Optical Society of America, Vol. 61, No. 11, pp. 1467-1477, Nov. 1971.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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